



State of Florida
Department of Children and Families

Rick Scott
Governor

Mike Carroll
Secretary

DATE: December 11, 2015

TO: Regional Managing Directors
Community Based Care (CBC) CEOs

THROUGH: David L. Fairbanks, Deputy Secretary *DLF*
Vicki Abrams, Assistant Secretary for Operations *VA*
Janice Thomas, Assistant Secretary for Child Welfare *JT*

FROM: Ginger Griffeth, Director of Child Welfare Performance and Quality Management *GG*

SUBJECT: Child and Family Services Review (CFSR) Round 3 Data Profile

PURPOSE: The purpose of this memorandum is to provide information on the Florida CFSR Data Profile and alert regions and CBCs that Florida's performance in 3 of the 7 federal data indicators will result in a federal Program Improvement Plan (PIP).

BACKGROUND: The Children's Bureau (CB) uses national standards for state performance on statewide data indicators to determine whether a state is in substantial conformity with two outcomes related to safety and permanency. Statewide data indicators are aggregate measures, and are calculated using administrative data available from a state's submissions to the Adoption and Foster Care Analysis and Reporting System (AFCARS) and the National Child Abuse and Neglect Data System (NCANDS). When a state is not in substantial conformity with a related outcome due to its performance on an indicator, the state must include that indicator in its program improvement plan. The improvement a state must achieve is relative to the state's baseline performance at the beginning of the program improvement plan period.

In an April 23, 2014, Federal Register notice (79 FR 22604), the CB proposed statewide data indicators and an approach to national standards for the third round of CFSRs that differed from that used for the second round of reviews. The indicators were revised based on public comment, research literature review, consultation with an expert panel, a review of the availability and quality of data available, and statistical testing to examine relationships between available data and outcomes.

1317 Winewood Boulevard, Tallahassee, Florida 32399-0700

Mission: Work in Partnership with Local Communities to Protect the Vulnerable, Promote Strong and Economically Self-Sufficient Families, and Advance Personal and Family Recovery and Resiliency

A description of how each of the seven statewide data indicators are calculated is provided in the attached data dictionary. The seven statewide data indicators are described briefly below.

1. **Maltreatment in foster care.** This indicator is described as: Of all children in foster care during a 12-month period, what is the rate of victimization per day of foster care? **Florida did not meet this performance indicator.**
2. **Recurrence of maltreatment.** This indicator is described as: Of all children who were victims of a substantiated or indicated maltreatment report during a 12-month reporting period, what percent were victims of another substantiated or indicated maltreatment report within 12 months of their initial report?
3. **Permanency in 12 months for children entering foster care.** This indicator is described as: Of all children who enter foster care in a 12-month period, what percent are discharged to permanency within 12 months of entering foster care? Permanency, for the purposes of this indicator and the other permanency-in-12-months indicators, includes discharges from foster care to reunification with the child's parents or primary caregivers, living with a relative, guardianship, or adoption.
4. **Permanency in 12 months for children in foster care 12 to 23 months.** This indicator is described as: Of all children in foster care on the first day of a 12-month period who had been in foster care (in that episode) between 12 and 23 months, what percent discharged from foster care to permanency within 12 months of the first day of the period?
5. **Permanency in 12 months for children in foster care for 24 months or longer.** This indicator is described as: Of all children in foster care on the first day of a 12-month period who had been in foster care (in that episode) for 24 months or more, what percent discharged to permanency within 12 months of the first day?
6. **Re-entry to foster care in 12 months.** This indicator is described as: Of all children who enter foster care in a 12-month period who were discharged within 12 months to reunification, living with a relative, or guardianship, what percent re-enter foster care within 12 months of their discharge? **Florida did not meet this performance indicator.**

7. **Placement stability.** This indicator is described as: Of all children who enter foster care in a 12-month period, what is the rate of placement moves per day of foster care? **Florida did not meet this performance indicator.**

ACTION REQUIRED: We are pleased that Florida has met the national standards in 4 of the 7 data indicators. During the next few weeks, we will be meeting with the CB to discuss potential strategies to improve performance. In the interim, Regional Managing Directors and CBC CEOs should begin local discussions to review local performance data and drivers to improve practice in the 3 areas where performance related to the national standards was not met. In early 2016, we will establish a workgroup to formalize Florida's strategies. The CB will set the baseline for the 3 data indicators at the completion of the case review component of the CFSR. At that time, we will provide the CB with our formal strategies to improve performance related to the national data indicators as part of the state PIP.

CONTACT INFORMATION: Please contact Ginger Griffeth, Office of Child Welfare at (850) 717-4654 or email ginger.griffeth@myflfamilies.com or Eleese Davis at (850) 717-4650 or email at Eleese.davis@myflfamilies.com.

Attachments

cc: Traci Leavine, Director of Child Welfare Practice
Joshonda Guerrier, Director of Child Welfare Strategic Projects
Grainne M. O'Sullivan, Esq., Statewide Director for Children's Legal Services
Regional Family and Community Services Directors



CFSR Statewide Data Indicator Performance & PIP Status	12 month period	Data Used	Observed Performance			Risk-Standardized Performance (RSP) & National Standard (NS)					Performance related to NS	Primary Indicator	Goal	Companion Indicator (if applicable)	Baseline	Threshold	
			Denominator	Numerator	Percentage or Rate	Lower RSP	RSP	Upper RSP	NS								
Permanency in 12 months (entries)	12B13A	12B-15A	14,013	7,111	50.7%	48.9%	49.7%	50.5%	40.5%		Met						
Permanency in 12 months (12-23 mos)	14B15A	14B-15A	4,157	2,230	53.6%	49.1%	50.5%	51.9%	43.6%		Met						
Permanency in 12 months (24+ mos)	14B15A	14B-15A	3,019	1,279	42.4%	34.7%	36.1%	37.4%	30.3%		Met						
Re-entry to care in 12 months	12B13A	12B-15A	6,658	550	8.3%	9.1%	9.9%	10.7%	8.3%		Not met	8.3%	7.4%	50.7%		49.1%	
Placement stability	14B15A	14B-15A	2,598,999	13,130	5.05	5.09	5.18	5.27	4.12		Not met	5.05	4.57				
Maltreatment in foster care	14A14B	14A, 14B, FY14	6,783,905	626	9.23	11.92	12.89	13.94	8.50		Not met	9.23	8.26				
Recurrence of maltreatment	FY13	FY13, FY14	48,289	3,321	6.9%	8.5%	8.8%	9.1%	9.1%		No dif						

Table Notes

12 month period: The 12-month period described in the denominator for this indicator (see Data Dictionary). "FY" (e.g., FY13) refers to NCANDS data which span Oct 1st - Sept 30th. All others refer to AF-CARS data. 'A' refers to Oct 1st - Mar 31st, 'B' refers to Apr 1st - Sep 30th. The two digit year refers to the calendar year in which the period ends (e.g., 13A = 10/1/12 - 3/31/13; FY13 = 10/1/12 - 9/30/13).

Data Used: Refers to the initial 12-month period and the period(s) of data needed to follow the children to observe their outcome.

Observed Performance

Denominator: For Placement stability and Maltreatment in foster care - Number of days in care. For all other indicators - Number of children.

Numerator: For Placement stability - Number of moves. For Maltreatment in foster care - Number of victimizations. For all other indicators - Number of children.

Percentage or rate: For Placement stability - Moves per 1,000 days in care. For Maltreatment in foster care - Victimization per 100,000 days in care. For all other indicators - Percentage of children experiencing the outcome.

Risk-Standardized Performance (RSP) & National Standard (NS)

RSP: Risk-standardized performance. The RSP is derived from a multi-level model and reflects the state's performance relative to states with similar children and takes into account the number of children the state served, the age distribution of these children, and, for some indicators, the state's entry rate.

Lower RSP and Upper RSP: 95% interval estimate around the RSP. Reflects the amount of uncertainty associated with the RSP. For example, the CB is 95% confident that the true value of the RSP is between the lower and upper limit of the interval.

NS: National standard. The observed performance for the nation as described in the Federal Register notice.

Performance related to NS: Indicates whether the state's 95% interval showed that the state met, did not meet, or was no different than the NS. "No Dif" means the interval includes the NS. For the permanency in 12 months indicators, "Met" is used when the entire interval is above the NS; "Not Met" is used when the entire interval is below the NS. For the remaining indicators, "Met" is used when the entire interval is below the NS; "Not Met" is used when the entire interval is above the NS. "No Dif" and "Met" do not require PIP inclusion of the indicator.

Performance Improvement Plan (PIP)

Baseline: A preliminary PIP baseline derived from the state's observed performance for the indicator using the most recent 12-month period of available data. At the time the state's PIP is due, the baseline is specified and will remain the same with the exception of certain situations when the state resubmits data for the baseline period.

Threshold: If the state must include permanency in 12 months (entries) in its PIP, the state must also not go above the threshold shown for re-entry to foster care. If the state must include re-entry to foster care in its PIP, the state must not go below the threshold shown for permanency in 12 months (entries).

Data Quality: These checks are used when estimating state performance against the national standards and calculating PIP baselines, targets, and companion measure thresholds. Values in **bold** indicate that the percentage of problem cases exceeded the data quality limit. Blank cells indicate the check is not applicable. To determine if a data quality problem prevented estimating state performance against national standards, calculating PIP values, or both, see the table on page 1. Percentages below have been rounded for purposes of presentation. Data quality limits are applied to unrounded values.

AFCARS Data Quality Checks

MFC = Maltreatment in foster care
 Perm = Permanency in 12 months for children entering care, Permanency in 12 months for children in care 12-23 months, Permanency in 12 months for children in care 24 months or more, and Re-entry to care in 12 months.
 PS = Placement stability

	Limit	6 month periods														
		MFC	Perm	PS	10B	11A	11B	12A	12B	13A	13B	14A	14B	15A		
AFCARS IDs don't match from one period to next	> 40%	✓	✓	✓	27.0	26.2	25.5	27.1	27.7	28.1	30.7	25.7	25.8	0.0		
Age at discharge greater than 21	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Age at entry is greater than 21	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Date of birth after date of entry	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Date of birth after date of exit	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Dropped records	> 10%	✓	✓	✓	0.5	0.1	0.3	0.2	0.2	0.2	1.6	0.1	0.5	0.0		
Enters and exits care the same day	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Exit date is prior to removal date	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
In foster care more than 21 yrs	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Missing date of birth	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Missing date of latest removal	> 5%	✓	✓	✓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Missing discharge reason (exit date exists)	> 5%	✓	✓	✓	0.0	0.1	0.1	0.2	0.2	0.2	0.3	0.5	0.3	0.3		
Missing number of placement settings	> 5%	✓	✓	✓	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.0	0.0	0.0		
Percentage of children on 1st removal	> 95%	✓	✓	✓	75.1	75.6	76.4	76.9	76.8	76.6	76.6	77.2	77.8	78.3		

NCANDS Data Quality Checks

MFC = Maltreatment in foster care, RM = Recurrence of maltreatment

	Limit	Fiscal Years									
		MFC	RM	2011-12	2012-13	2013-14	2011	2012	2013	2014	
Child IDs for victims match across years	< 1%	✓	✓	4.4	4.2	3.9					
Child IDs for victims match across years, but DOB and sex do not match	> 5%	✓	✓	0.3	0.4	0.5					
Missing age for victims	> 5%	✓	✓				0.1	0.1	0.3	0.3	
Some victims should have AFCARS IDs in child file	< 1%	✓	✓				100.0	100.0	100.0	100.0	
Some victims with AFCARS IDs should match IDs in AFCARS files	No	✓	✓				Yes	Yes	Yes	Yes	

Data Dictionary for CFSR Round 3 Data Profile

November 2015

Statewide Data Indicators

Title	Description	Denominator	Numerator	Risk Adjustment	Exclusions	Notes
Permanency in 12 months for children entering care	Of all children who enter care in a 12-month period, what percent discharged to permanency within 12 months of entering care?	Number of children who enter care in a 12-month period	Number of children in the denominator who discharged to permanency within 12 months of entering care	<ul style="list-style-type: none"> Age at entry State's foster care entry rate 	<ul style="list-style-type: none"> Children in care < 8 days Children who enter care at age 18 or older 	<ul style="list-style-type: none"> Trial home visit adjustment is applied Youth entering at 17 but who turn 18 while in care or discharge at age 18 are not counted as achieving permanency
Permanency in 12 months for children in care 12-23 months	Of all children in care on the first day of a 12-month period who had been in care (in that episode) between 12 and 23 months, what percent discharged to permanency within 12 months of the first day?	Number of children in care on the first day of a 12-month period, who had been in care (in that episode) for 24 months or more	Number of children in the denominator who discharged to permanency within 12 months of the 1st day	<ul style="list-style-type: none"> Age on first day 	<ul style="list-style-type: none"> Children age 18 or more on the first day of the year 	<ul style="list-style-type: none"> Youth age 17 on the first day but who turn 18 while in care or discharge at age 18 are not counted as achieving permanency
Permanency in 12 months for children in care 24 months or more	Of all children in care on the first day of a 12-month period, who had been in care (in that episode) for 24 months or more, what percent discharged to permanency within 12 months of the first day?	Number of children in care on the first day of a 12-month period, who had been in care (in that episode) for 24 months or more	Number of children in the denominator who discharged to permanency within 12 months of the 1st day	<ul style="list-style-type: none"> Age on first day 	<ul style="list-style-type: none"> Children in care < 8 days Children who enter or exit care at age 18 or older 	<ul style="list-style-type: none"> If a child has multiple re-entries within 12 months of their discharge, only his first re-entry is selected
Re-entry to foster care in 12 months	Of all children who enter care in a 12-month period, who discharged within 12 months to reunification, live with relative, or guardianship, what percent re-entered care within 12 months of their discharge?	Number of children who enter care in a 12-month period, who discharged within 12 months to reunification, live with relative, or guardianship	Number of children in the denominator who re-enter care within 12 months of their discharge	<ul style="list-style-type: none"> Age at exit State's foster care entry rate 	<ul style="list-style-type: none"> Children in care < 8 days Children who enter or exit care at age 18 or older 	<ul style="list-style-type: none"> If a child has multiple re-entries within 12 months of their discharge, only his first re-entry is selected

Statewide Data Indicators

Title	Description	Denominator	Numerator	Risk Adjustment	Exclusions	Notes
Placement stability	Of all children who enter care in a 12-month period, what is the rate of placement moves, per day of foster care?	Of children who enter care in a 12-month period, total number of days these children were in care as of the end of the 12-month period	Of children in the denominator, total number of placement moves during the 12-month period	<ul style="list-style-type: none"> Age at entry 	<ul style="list-style-type: none"> Children in care < 8 days Children who enter care at age 18 or more Any time in care and placement changes that occur after the 18th birthday is not counted The initial removal from home (and into care) is not counted as a placement move 	<ul style="list-style-type: none"> Placement stability is expressed as a rate per 1,000 days in care
Maltreatment in foster care	Of all children in foster care during a 12-month period, what is the rate of victimization, per day of care?	Of children in care during a 12-month period, total number of days these children were in care as of the end of the 12-month period	Of children in the denominator, total number of substantiated or indicated reports of maltreatment (by any perpetrator) during a foster care episode within the 12-month period	<ul style="list-style-type: none"> Age at entry (for children entering) or age on first day of the 12-month period (for children already in care) 	<ul style="list-style-type: none"> Complete foster care episodes lasting < 8 days Youth in foster care at 18 or older For youth who start out as 17 years of age and turn 18 during the period, any time in care and victimizations that occur after the 18th birthday is not counted Records with an incident date occurring outside of the removal episode, even if report dates fall within the episode (used when incident date exists) Maltreatment reports that occur within the first 7 days of removal Victims age 18 or older Records with disposition or report dates falling outside of the 12-month period Subsequent reports that occur within 1 day of the initial report 	<ul style="list-style-type: none"> Cases are matched across AFCARS and NCANDS using AFCARS ID Maltreatment in foster care is expressed as a rate per 100,000 days in care
Recurrence of maltreatment	Of all children who were victims of a substantiated or indicated maltreatment report during a 12-month period, what percent were victims of another substantiated or indicated maltreatment report within 12 months?	Number of children with at least one substantiated or indicated maltreatment report in a 12-month period	Number of children in the denominator that had another substantiated or indicated maltreatment report within 12-months of their initial report	<ul style="list-style-type: none"> Age at initial victimization 	<ul style="list-style-type: none"> Subsequent reports that occur within 14 days of the initial report Subsequent reports in which the incident date shows that the subsequent report refers to the same incident as the initial report If report date is prior to the first 12 months Victims age 18 or older 	<ul style="list-style-type: none"> Relies primarily on the report date to determine whether the maltreatment occurred in the first 12 month period; therefore, if a case does not reach disposition until the following 12 month period but has a report date in the first, we include it Unborn children are included in the 0-3 mos age group

AFCARS Data Quality Checks

Title	Description	Denominator	Numerator	Limits	Notes
AFCARS IDs don't match from one period to next	Percent of records that do not match for a given record number in the next 6-month period.	Number of children reported in first 6-month file	Number of children that do not match in the next 6-month file	> 40%	Individual records with this condition are not a problem unless the overall percentage of non-matches for a given state exceeds the DQ limit
Age at discharge greater than 21	Percent of records where time between date of birth and date of discharge from most recent foster care episode is > than 21 yrs	Number of children reported in a 6-month file	Number of records where time between date of birth and date of discharge from most recent foster care episode is > than 21 yrs	>5%	
Age at entry greater than 21	Percent of records where time between date of birth and date of latest removal from home is > 21 yrs	Number of children reported in a 6-month file	Number of records where time between date of birth and date of latest removal from home is > 21 yrs	>5%	
Date of birth after date of entry	Percent of records where the date of birth is after the date of latest removal	Number of children reported in a 6-month file	Number of records where date of birth is after the date of latest removal	>5%	
Date of birth after date of exit	Percent of records where the date of birth is after the date of discharge from most recent foster care episode	Number of children reported in a 6-month file	Number of records where date of birth is after the date of discharge from most recent foster care episode	>5%	
Dropped records	Record is missing a date of discharge, suggesting the child is still in care, but a record for this same child in the next 6-month period does not exist	Number of children reported in first 6-month file	Number of children reported without discharge dates in first 6-month file that do not appear in the subsequent 6-month file	> 10%	These percentages are calculated differently than they were in prior CFSR rounds. Previously, the denominator was the total number of discharges reported for the 12-month period of interest. Now the denominator is the total number of records in the 6-month file
Enters and exits care the same day	Percent of records where date of latest removal from home is the same day as the date of discharge from most recent foster care episode	Number of children reported in a 6-month file	Number of records where date of latest removal from home is the same day as the date of discharge from most recent foster care episode	>5%	
Exit date is prior to removal date	Percent of records where date of discharge from most recent foster care episode is before the date of latest removal from home	Number of children reported in a 6-month file	Number of records where records where date of discharge from most recent foster care episode is before the date of latest removal from home	>5%	

AFCARS Data Quality Checks

Title	Description	Denominator	Numerator	Limits	Notes
In foster care more than 21 years	Percent of records where time between date of latest removal from home and date of discharge from most recent foster care episode is > 21 yrs	Number of children reported in a 6-month file	Number of records where time between date of latest removal from home and date of discharge from most recent foster care episode is > 21 yrs	>5%	
Missing date of birth	Percent of records with a missing date of birth	Number of children reported in a 6-month file	Number of children missing date of birth	>5%	Date of birth is used to calculate age in months for risk-adjustment when calculating a state's risk-standardized performance
Missing date of latest removal	Percent of records with a missing date of latest removal	Number of children reported in a 6-month file	Number of children missing date of latest removal	>5%	
Missing discharge reason (exit date exists)	Percent of records where date of discharge from most recent foster care episode exists but the reason for discharge is missing	Number of children reported in a 6-month file	Number of records where data of discharge exists but discharge reason is missing	>5%	
Missing number of placement settings	Percent of records with a missing number of placement settings	Number of children reported in a 6-month file	Number of children missing number of placement settings	>5%	
Percentage of children on first removal episode	Percent of children where the total number of removals from home to date equals 1	Number of children reported in a 6-month file	Number of records where total number of removals from home to date = 1	>95%	Individual records with this condition are not a problem unless the overall percentage of children on their first removal for a given state exceeds the DQ limit

NCANDS Data Quality Checks

All of these checks are applied only to victims of maltreatment. A victim in CFSR 3 is a child for whom the state determined at least one maltreatment was substantiated or indicated and a disposition of substantiated or indicated was assigned for a child in a specific report. It does not include alternative response victims. It does include children who died and the death was confirmed to be the result of child abuse and neglect.

Title	Description	Denominator	Numerator	Limits	Notes
Child IDs for victims match across years	Among victims, percent of unique NCANDS Child IDs that match across two consecutive NCANDS child files	Among victims, number of unique NCANDS Child IDs in either or both of two consecutive NCANDS Child Files	Of NCANDS Child IDs in the denominator, number of those IDs that appear in two (i.e., both) consecutive NCANDS child files	<1%	
Child IDs for victims match across years, but dates of birth and sex do not match	Among victims, percent of unique Child IDs that match across years, but 1) sex does not match, 2) date of birth does not match, or 3) age difference between years is outside of expected range	Among victims, number of unique NCANDS Child IDs that match across two consecutive NCANDS Child Files	Of NCANDS Child IDs in the denominator, number of those IDs with a different sex or an age difference between years that is outside of the expected range	>5%	An age difference that is outside of the expected range occurs when the child's age difference between the two years is less than 0 or greater than 3. When age is missing in either year, the child's age difference is not calculated. Instead, the child's date of birth is compared across both years.
Missing age for victims	Percent of victims with a missing age	Number of victims in a FY Child File	Of children in the denominator, number of children missing child age	>5%	
Some victims should have AFCARS IDs in Child File	Percent of victims with an AFCARS IDs in Child File	Number of victims in Child File	Of children in the denominator, number with an AFCARS ID in Child File	<1%	
Some victims with AFCARS IDs should match IDs in AFCARS Files	Some victims with AFCARS IDs should match IDs in AFCARS Files	Number of victims who have AFCARS IDs reported in Child File	Of children in the denominator, number that match using AFCARS ID to a child record in AFCARS, during the same year.	No	A state needs to have at least one case match (0 matches results in N for "no match" and does not meet the DQ limit)

Resources

Resources related to CFSR Round 3 are available at the CFSR Information Portal available at

<https://training.cfsrportal.org/resources/3105#CFSR%20Technical%20Bulletins%20and%20Related%20Information>.

Specifically, the following two resources provide additional detail about the indicators and calculations reflected in your state's Data Profile:

1. Combined Federal Register Notice: Statewide Data Indicators and National Standards for Child and Family Services Reviews

A Federal Register Notice pertaining to the statewide data indicators and national standards for the third round of the CFSRs was published on May 13, 2015, providing corrected information to the Final Notice published on October 10, 2014. This combined version integrates the corrections into the original publication, so that all information pertaining to the data indicators is in one document. The corrected information is highlighted in the document. Other edits were made in this compilation to aid the reader in understanding the revised content in context.

http://kt.cfsrportal.org/action.php?kt_path_info=ktcore.actions.document.view&fDocumentid=75220

2. CFSR Technical Bulletin #8A, Amended (Final)

This Technical Bulletin accompanies the amended (May 13, 2015) Final Notice of Statewide Data Indicators and National Standards for the CFSRs published in the *Federal Register*. This information is specific to the third round of the CFSRs, and provides technical detail on how the Children's Bureau calculates whether a state has met national standards and on establishing program improvement goals relative to the statewide data indicators for states not meeting the national standards.

Link: http://kt.cfsrportal.org/action.php?kt_path_info=ktcore.actions.document.view&fDocumentid=75214